



MEMORANDUM – 2024-019

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 05 JULY 2024

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 June 2024.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

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| ACD | FHRAD | IED | SD |

| DATE OF EFFECTIVITY | LAST NAME | FIRST NAME | PREVIOUS POSITION | PRESENT POSITION | TRADING PARTICIPANT | REMARKS |
|---------------------|-----------|--------------------|---------------------------------|---|--|---------------------------------------|
| 1-Jul-24 | LICAROS | MARIA LOURDES Y. | - | CORPORATE SECRETARY | FIRST ORIENT SECURITIES, INC. | NEW EMPLOYMENT |
| 30-Jun-24 | JAVIER | EDILBERTO D. | CORPORATE SECRETARY | - | FIRST ORIENT SECURITIES, INC. | RESIGNATION |
| 25-Jun-24 | MORA | RICHELLE F. | ACCOUNTING OFFICER/ALTERNATE AP | SALESMAN-TRAINEE | LANDBANK SECURITIES, INC. | RE-DESIGNATION |
| 24-Jun-24 | BIACORA | RACHELLE ANNE P. | - | INTERN | CLSA PHILIPPINES, INC. | NEW EMPLOYMENT |
| 24-Jun-24 | ASIGA | LEONEZZAR S. | - | OPERATIONS MANAGER - ASSOCIATE (OUTSOURCED) | J. P. MORGAN SECURITIES PHILIPPINES, INC. | NEW EMPLOYMENT |
| 24-Jun-24 | MEDALLA | SOPHIA S. | - | TRANSACTION ANALYST (OUTSOURCED) | J. P. MORGAN SECURITIES PHILIPPINES, INC. | NEW EMPLOYMENT |
| 24-Jun-24 | SISAYAN | KERVIN LAURENCE S. | - | HEAD, RESEARCH | MAYBANK SECURITIES, INC. | NEW EMPLOYMENT |
| 18-Jun-24 | CERIN | KRISHA JOY D. | - | INSTITUTIONAL SALES OFFICER | FIRST METRO SECURITIES BROKERAGE CORPORATION | NEW EMPLOYMENT |
| 18-Jun-24 | TATOY | DANICA ANNE D. | - | NEW ACCOUNTS ASSOCIATE | FIRST METRO SECURITIES BROKERAGE CORPORATION | NEW EMPLOYMENT |
| 18-Jun-24 | ESPERE | MARIFE C. | - | SALESMAN | PNB SECURITIES, INC. | NEW EMPLOYMENT |
| 18-Jun-24 | MOLINA | ALEJANDRO MARIA R. | - | HEAD OF DIGITAL TRADING & SALES | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 18-Jun-24 | CARDENAS | PAULINE S. | - | CUSTOMER SUPPORT OFFICER | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 18-Jun-24 | DY | MAY JANE L. | - | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 18-Jun-24 | NAVARRO | JEROLD Q. | FINANCE OFFICER | - | MAYBANK SECURITIES, INC. | RESIGNATION (clearance under process) |
| 17-Jun-24 | BERMUDEZ | LADY ADELIN R. | EQUITY RESEARCH ASSOCIATE | - | FIRST METRO SECURITIES BROKERAGE CORPORATION | RESIGNATION (clearance under process) |
| 17-Jun-24 | ABEJAY | JETHRO PAUL N. | - | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |

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|---------------------|------------|-------------------|--|---------------------------------------|--|---------------------------------------|
| 17-Jun-24 | LUMANLAN | GENEVIEVE KATE D. | - | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | NEW EMPLOYMENT |
| 17-Jun-24 | CRUZ | ELIZABETH C. | - | FINANCE/ OPERATION ASSOCIATE | SEEDBOX SECURITIES, INC. | NEW EMPLOYMENT |
| 15-Jun-24 | ROMANO | RODOLFO, JR. P. | DRIVER | - | HDI SECURITIES, INC. | RESIGNATION (no clearance required) |
| 14-Jun-24 | FLORES | CARL ANDREI C. | NEW ACCOUNTS ASSOCIATE | - | FIRST METRO SECURITIES BROKERAGE CORPORATION | RESIGNATION (clearance under process) |
| 14-Jun-24 | SANTIBAÑEZ | RUTH BEA L. | AUTHORIZED SALESMAN | - | INVESTORS SECURITIES, INC. | RESIGNATION (cleared) |
| 11-Jun-24 | BEROY | CEDIE S. | GRAPHIC DESIGNER | - | COL FINANCIAL GROUP, INC. | RESIGNATION (clearance under process) |
| 10-Jun-24 | TURCUATO | JARED LUCAS | - | EQUITY RESEARCH INTERN | BPI SECURITIES CORPORATION | NEW EMPLOYMENT |
| 10-Jun-24 | REICHERT | ISABEL SOFIA | - | EQUITY RESEARCH INTERN | BPI SECURITIES CORPORATION | NEW EMPLOYMENT |
| 10-Jun-24 | BELORO | MILLISCENT | - | ACCOUNTING ASSOCIATE | PHILSTOCKS FINANCIAL, INC. | NEW EMPLOYMENT |
| 10-Jun-24 | ESPIÑO | ROSANNA E. | - | CORPORATE SECRETARY AND LEGAL OFFICER | MAYBANK SECURITIES, INC. | NEW EMPLOYMENT |
| 10-Jun-24 | GARDOSE | ZULEIN MAY I. | CSS AND LEGAL OFFICER/ CORPORATE SECRETARY | - | MAYBANK SECURITIES, INC. | RESIGNATION (clearance under process) |
| 7-Jun-24 | MUNGCAL | RYLE DANIEL O. | INTERN | - | AP SECURITIES, INC. | RESIGNATION (End of Internship) |
| 7-Jun-24 | FRANCISCO | EDUARDO V. | CHAIRMAN | CHAIRMAN/ NOMINEE- APPLICANT | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 5-Jun-24 | EXCHAURE | IRIS KATRINE M. | ASSISTANT CORPORATE SECRETARY | - | CHINA BANK SECURITIES CORPORATION | RESIGNATION (no clearance required) |
| 3-Jun-24 | MAYUGA | RAMON GIAN V. | SALES AND TRAINING ASSOCIATE | - | PHILSTOCKS FINANCIAL, INC. | RESIGNATION |
| 3-Jun-24 | ARQUEZA | ADRIEN JULES S. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |

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| 3-Jun-24 | CALIJA | FRANCINE DUNE B. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | CONCEPCION | MIGUEL ANTONIO G. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | DAGDAG | DANIELLE S. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | DOLOR | CHRISTER BEEL C. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | GONZAGA | GIANCARLO NURHACHU H. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | GONZALEZ | WILLIAM WARREN C. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | LIM | ALEX EDRICH N. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | LOPEZ | ANN MARGARETTE L. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | MANZANA | FRANCINE ELISHA C. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | OCIER | CHRISTOPHER IVAN ESCOLAR Y. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | ONG | FRANCIS NATHAN H. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | OSORIO | JOSE CARLOS MIGUEL A. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | SUN | DONNE JULIUS A. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | TO | FREDRICH RUSSELL C. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | UNGSON | RICARDO RAFAEL U. | - | STUDENT INTERN | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | SOLEDAD | LANCE GABRIEL U. | RESEARCH ASSOCIATE | - | CHINA BANK SECURITIES CORPORATION | RESIGNATION (clearance under process) |
| 3-Jun-24 | BELLO | KIMBERLY S. | - | RECEPTIONIST | EASTERN SECURITIES DEVELOPMENT CORPORATION | NEW EMPLOYMENT |

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| 3-Jun-24 | LIM | FRANCES ANNE Y. | - | SENIOR MANAGER - SALESMAN | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | DALUMANGCOB | AMANAH M. | - | RECONCILIATION STAFF | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 3-Jun-24 | ANGELES | ERWIN M. | - | BROKERAGE CONSULTANT | SEEDBOX SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Jun-24 | PAGASPAS | MARILEN F. | - | BACKROOM STAFF | LUCKY SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Jun-24 | GOMEZ IV | TOMAS S. | - | INDEPENDENT DIRECTOR/CHAIRMAN, AUDIT & COMPLIANCE COMMITTEE | DA MARKET SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Jun-24 | CARLOS | TERESA D. | - | DIRECTOR/MEMBER, AUDIT & COMPLIANCE COMMITTEE | DA MARKET SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Jun-24 | GREENLAW | JOCELYN D. | EXECUTIVE VICE-PRESIDENT/ BOARD MEMBER | EXECUTIVE VICE PRESIDENT/ TREASURER/ DIRECTOR | DA MARKET SECURITIES, INC. | RE-DESIGNATION |
| 1-Jun-24 | MABIOG | MORRIN ABI P. | SETTLEMENT ASSISTANT | CORPORATE SECRETARY/ SETTLEMENT ASSISTANT | DA MARKET SECURITIES, INC. | RE-DESIGNATION |
| 1-Jun-24 | MERCADO | PATRICIA MAE D. | OPERATIONS MANAGER - ASSOCIATE (OUTSOURCED) | - | J. P. MORGAN SECURITIES PHILIPPINES, INC. | RESIGNATION (no clearance required) |
| 1-Jun-24 | TABAC | JONATHAN T. | CHIEF COMPLIANCE OFFICER/ DPO/ ASSOCIATED PERSON | ASSOCIATED PERSON/ CONSULTANT | FIRST METRO SECURITIES BROKERAGE CORPORATION | RE-DESIGNATION |
| 1-Jun-24 | SAVELLANO | JILLENE C. | LEGAL AND COMPLIANCE OFFICER | DEPARTMENT HEAD - COMPLIANCE MANAGEMENT/ DATA PROTECTION OFFICER/ | FIRST METRO SECURITIES BROKERAGE CORPORATION | RE-DESIGNATION |
| 1-Jun-24 | ALAGON | ANGEL ANN BERNALENE H. | COMPLIANCE ASSISTANT SUPERVISOR | COMPLIANCE SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | ANGELES | KRISTINE JOY T. | ACCOUNTING OFFICER | ACCOUNTING MANAGER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |

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| 1-Jun-24 | AUSTRIA | ERIKA JANINE G. | COMPLIANCE ASSISTANT | COMPLIANCE SPECIALIST | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | AVERILLA | RESIQUEL F. | I.T. ASSOCIATE | IT ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | BACTUNG | ZYNNCLYRR P. | HR ASST. SUPERVISOR | HR SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | BATHAN | MARWIN B. | I.T. ASSISTANT SUPERVISOR | IT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | BUENDIA | MONICA JOHANN T. | CUSTOMER SERVICE ASSOCIATE | CUSTOMER SERVICE ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | CARLOS | ALYSSA JOBIM D. | SALES ASSISTANT | SALES SPECIALIST | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | COS | CARMELITA T. | ACCOUNTING SUPERVISOR | ACCOUNTING OFFICER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | CRUZ | HANNAH RAFFA G. | ACCOUNTING SUPERVISOR | ACCOUNTING OFFICER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | DUEÑAS | DORA JANE T. | CUSTOMER SERVICE ASSOCIATE | CUSTOMER SERVICE ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | ENERIO | ARIANNE C. | ADMIN ASSOCIATE | ADMIN ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | FRANCIA | GERRY U. | I.T. SUPERVISOR | IT OFFICER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | GAHOL | MARIEN M. | HR ASSISTANT | HR SPECIALIST | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | HERMINIO | ENGELBERT B. | I.T. ASSISTANT | IT SPECIALIST | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | JOAQUIN | DENISE T. | RESEARCH ANALYST | RESEARCH ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | LEE | KRISTINE S. | CUSTOMER SERVICE OFFICER | CUSTOMER SERVICE MANAGER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | LOPEZ | ALLYSA MARY G. | ADMIN SPECIALIST, IBG | INSTITUTIONAL BUSINESS GROUP SUPPORT ASSOCIATE | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | MANIBA | ZAIRA CLAIRE A. | CUSTOMER SERVICE STAFF | CUSTOMER SERVICE ASSISTANT | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |

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| 1-Jun-24 | MARQUEZ | LORENZO MIGUEL T. | PORTFOLIO ASSOCIATE, PCG | PORTFOLIO OFFICER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | MIRAS | PAULA PAMELA P. | ACCOUNTING SPECIALIST | ACCOUNTING ASSOCIATE | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | OJEDA | AARON JACOB D. | I.T. OPERATIONS ASSOCIATE | IT ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | PINGUEL | VEIN ALDRIN D. | I.T. ASSISTANT SUPERVISOR | IT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | PUOD | MA. CARMELA T. | ACCOUNTING SPECIALIST | ACCOUNTING ASSOCIATE | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | RAMOS | CATHERINE N. | ACCOUNTING ASSISTANT | ACCOUNTING SPECIALIST | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | ROSARIA | SALDIE MARCK D. | I.T. ASSOCIATE | IT ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | SUTINGCO | JENNIFER M. | ACCOUNTING ASST. SUPERVISOR | ACCOUNTING SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | TOLEDO | JOSE EFRAIM A. | TELECOMMUNICATIONS SUPERVISOR | TELECOMMUNICATIONS OFFICER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | TOLENTINO | ELIZABETH L. | ADMIN ASST. SUPERVISOR, PCG | ADMIN SUPERVISOR, PCG | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | TORRELIZA | LOIS ANNE NICOLE Z. | ACCOUNTING SPECIALIST | ACCOUNTING ASSOCIATE | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | TUQUERO | CHARMANE B. | RESEARCH ADMIN ASSOCIATE | RESEARCH ADMIN OFFICER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | VELARDE | KIMBERLY JOY P. | ACCOUNTING ASSOCIATE | ACCOUNTING ASSISTANT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | YAP | GERALDINE MAY A. | ACCOUNTING ASST. SUPERVISOR | ACCOUNTING SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | YAP | NOEL E. | I.T. ASSISTANT SUPERVISOR | IT SUPERVISOR | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | CORDERO | NICOLE ISSACHAR C. | ACCOUNTING MANAGER | ACCOUNTING SENIOR MANAGER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |

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|---------------------|--------------|---------------------------|--|---|---|---------------------------------------|
| 1-Jun-24 | LUCEROS | REY ANDREW I. | TRADING AND SUPPORT OPERATIONS MANAGER | TRADING AND SUPPORT OPERATIONS SENIOR MANAGER | COL FINANCIAL GROUP, INC. | RE-DESIGNATION |
| 1-Jun-24 | RABOY | CAMILLE NORMELIA MARIA G. | SECRETARY | EXECUTIVE SECRETARY | THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION | RE-DESIGNATION |
| 31-May-24 | GARCIA | SETH RENMAR M. | ONLINE ADMINISTRATOR | - | AP SECURITIES, INC. | RESIGNATION |
| 31-May-24 | OMANA | ROMEO G. | JANITOR | - | SOLAR SECURITIES, INC. | RESIGNATION (cleared) |
| 31-May-24 | MOLINA | ALEJANDRO MARIA R. | DIRECTOR - EQUITY SALES | - | REGIS PARTNERS, INC. | RESIGNATION (clearance under process) |
| 31-May-24 | NUNGA | MIA BIANCA D. | TREASURER | - | DA MARKET SECURITIES, INC. | RESIGNATION (End of Term) |
| 31-May-24 | YAP | JOHN ERICKSON C. | CORPORATE SECRETARY | - | DA MARKET SECURITIES, INC. | RESIGNATION (End of Term) |
| 31-May-24 | MORALES | WILFREDO PERRY B. | INDEPENDENT DIRECTOR | - | DA MARKET SECURITIES, INC. | RESIGNATION (End of Term) |
| 31-May-24 | TANCUNGCO | MICHELLE ANNE D. | DIRECTOR | - | DA MARKET SECURITIES, INC. | RESIGNATION (End of Term) |
| 31-May-24 | CANINIT | RORELIN P. | INTERN | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (no clearance required) |
| 31-May-24 | ABADILLA | GISELLE R. | INTERN | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (no clearance required) |
| 31-May-24 | REYES-TORRES | RENEEANN MITZ | CASH SETTLEMENT OFFICER | - | BPI SECURITIES CORPORATION | RESIGNATION |
| 31-May-24 | CRUZ | BIANCA DENISE | INTERN | - | DRAGONFI SECURITIES, INC. | RESIGNATION |
| 31-May-24 | RALA | WINALYN C. | RECEPTIONIST | - | EASTERN SECURITIES DEVELOPMENT CORPORATION | RESIGNATION (cleared) |
| 31-May-24 | TAN | NATY | ACCOUNTANT | - | MOUNT PEAK SECURITIES, INC. | RESIGNATION |
| 31-May-24 | ESTIPONA | MARION CLAIRE L. | OFFICE STAFF | - | MOUNT PEAK SECURITIES, INC. | RESIGNATION |

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| 30-May-24 | RAMOS-MATIENZO | ANNA MARIE M. | INTERNAL AUDIT OFFICER | - | MAYBANK SECURITIES, INC. | RESIGNATION (clearance under process) |
| 24-May-24 | ESPERE | MARIFE C. | CERTIFIED SECURITIES REPRESENTATIVE /ONLINE SUPPORT | - | AP SECURITIES, INC. | RESIGNATION |
| 24-May-24 | DELA PAZ III | GERMAN T. | RESEARCH MANAGER | - | ABACUS SECURITIES CORPORATION | RESIGNATION |
| 23-May-24 | YAP | JESSIE MARIE C. | HEAD OF SUPPORT SERVICES | NON-LOCAL EQUITIES OPERATIONS HEAD/ CLIENT FEES & RECEIVABLES | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | AMORA | JANET D. | LOCAL EQUITIES BROKERAGE OPERATIONS HEAD | LOCAL EQUITIES OPERATIONS HEAD | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | BUENSUCESO | DONALD S. | TRANSACTION PROCESSING AND SECURITIES SETTLEMENT TEAM HEAD - SECURITIES | TRANSACTION PROCESSING AND SECURITIES SETTLEMENT TEAM HEAD - NON-LOCAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | GAW | LYNDSY LORRAINE L. | TEAM HEAD - PAYMENT PROCESSING AND SETTLEMENTS - LOCAL EQUITIES BROKERAGE | TEAM HEAD - PAYMENT PROCESSING AND SETTLEMENTS - LOCAL EQUITIES OPERATIONS | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | RONQUILLO | PAOLA JOYCE T. | TEAM HEAD - CUSTODIANSHIP- LOCAL EQUITIES BROKERAGE OPERATIONS | TEAM HEAD – CUSTODIANSHIP - LOCAL EQUITIES OPERATIONS | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | BETERO | GHENNALYN FAYE B. | TEAM HEAD - TRANSACTION PROCESSING AND SECURITIES SETTLEMENTS - LOCAL EQUITIES | TEAM HEAD - TRANSACTION PROCESSING AND SECURITIES SETTLEMENTS - LOCAL EQUITIES | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | ALORRO | JHOANNA MARIE A. | OPERATIONS ASSISTANT | OPERATIONS OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | BROSAS | IRIS A. | OPERATIONS ASSISTANT | OPERATIONS OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | JIMENEZ | JASPER M. | TRADITIONAL TRADING & SALES HEAD | TRADITIONAL TRADING HEAD | BDO SECURITIES CORPORATION | RE-DESIGNATION |

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|---------------------|-----------|--------------------|--------------------------------|------------------------|----------------------------|----------------|
| 23-May-24 | RAZ | JOHN MICHAEL M. | HEAD OF SALES | HEAD OF LUZON | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | PIÑANO | INA PATRICIA R. | RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | CHAN | DOROTHY AGNES D. | RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | TAN | AARON VINCENT S. | ASSOCIATE RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | WOO | ELYN D. | RELATIONSHIP MANAGER | SENIOR ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | YSIP | CAMILLE SELENE D. | RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | DAGANZO | LESLEY LORELIE V. | ASSOCIATE RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | FAVILA | CHLOIE JUSTINE A. | ASSOCIATE RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | MONTEROSO | MARY ANN DOMINIQUE | RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | VILLARAZA | KATELYN A. | ASSOCIATE RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | SARDIDO | JAYMAR Q. | ASSOCIATE RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | ABELLON | FRANCIS JOSEPH G. | RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | MANCERA | MARIA CELINA R. | RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | GARCIA | JAN MICHAEL D. | RELATIONSHIP MANAGER | ACCOUNT OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | KOHZAI | MICHELLE O. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | LIM | NICOLE HUGGINS K. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | PEÑARANDA | MARA BERNADETTE D. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |

| DATE OF EFFECTIVITY | LAST NAME | FIRST NAME | PREVIOUS POSITION | PRESENT POSITION | TRADING PARTICIPANT | REMARKS |
|---------------------|----------------|-------------------|--------------------------------|--------------------------------------|-------------------------------|-----------------------|
| 23-May-24 | SY | SERENA RHENE T. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | NG | GIAN MARCO D. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | MANINGAS | MARIA ISABEL G. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | ELICANAL | THERESE MAXENE S. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | YUMUL-DIAZ | REGINA LEANNE B. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | VALMADRID | CARL GERARD V. | ASSOCIATE RELATIONSHIP MANAGER | WEALTH PROFESSIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | ESPIRITU | RUBEN ENRIQUE A. | REGIONAL CENTER HEAD | HEAD OF PRIME/WMG-REGIONAL | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 23-May-24 | ROSALES | ANDREA D. | RISK AND LIQUIDITY MANAGER | ASSISTANT RISK AND LIQUIDITY OFFICER | BDO SECURITIES CORPORATION | RE-DESIGNATION |
| 22-May-24 | ADANTE | SHERYL P. | - | ASSISTANT ACCOUNTING MANAGER | PHILSTOCKS FINANCIAL, INC. | NEW EMPLOYMENT |
| 17-May-24 | CUARENTA | BRIAN E. | COLLECTOR/MESSENGER | - | R. NUBLA SECURITIES, INC. | RESIGNATION (cleared) |
| 17-May-24 | PRAXIDIO | ROEL B. | BACKROOM STAFF | - | LUCKY SECURITIES, INC. | RESIGNATION (cleared) |
| 16-May-24 | CAAMPUED | THRIZHA-ANNE | IT SPECIALIST | PLATFORM BUSINESS OFFICER | BPI SECURITIES CORPORATION | RE-DESIGNATION |
| 16-May-24 | DEL ROSARIO | ALLISTER JAMES | MANAGEMENT TRAINEE (RESEARCH) | EQUITY RESEARCH ANALYST | BPI SECURITIES CORPORATION | RE-DESIGNATION |
| 15-May-24 | CLAVERIA-EGUIA | MARY GRACE A. | CHIEF ACCOUNTANT | - | AP SECURITIES, INC. | RESIGNATION |
| 15-May-24 | ELISAN | ELA MAE S. | ACCOUNTING ASSOCIATE | - | PHILSTOCKS FINANCIAL, INC. | RESIGNATION |
| 15-May-24 | PARULAN | PAULINE T. | CUSTOMER SERVICE ASSOCIATE | - | ABACUS SECURITIES CORPORATION | RESIGNATION |

| DATE OF EFFECTIVITY | LAST NAME | FIRST NAME | PREVIOUS POSITION | PRESENT POSITION | TRADING PARTICIPANT | REMARKS |
|---------------------|-----------|------------------|--|---|----------------------------------|---------------------------------|
| 10-May-24 | MAGNAYE | MARIA ARVINA R. | ACCOUNTING STAFF | - | CUALOPING SECURITIES CORPORATION | RESIGNATION (cleared) |
| 10-May-24 | GALIGAO | EDWIN S. | - | ACCOUNTING STAFF | CUALOPING SECURITIES CORPORATION | NEW EMPLOYMENT |
| 5-May-24 | RATERTA | LAIZA REGINA C. | OPERATION STAFF | - | SOLAR SECURITIES, INC. | RESIGNATION (without clearance) |
| 4-May-24 | WOODWARD | MYRA M. | CLIENT EXPERIENCE ASSOCIATE | - | COL FINANCIAL GROUP, INC. | UPDATE: RESIGNATION (cleared) |
| 3-May-24 | RALUTIN | ELOISA M. | - | INTERNAL AUDIT HEAD | UNICAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 2-May-24 | DIA | KAYESHA S. | DATA PROTECTION OFFICER | DATA PROTECTION OFFICER/ACTING COMPLIANCE OFFICER | R. NUBLA SECURITIES, INC. | RE-DESIGNATION |
| 1-May-24 | COLLADO | JIMMY A. | - | NON-EXECUTIVE INDEPENDENT DIRECTOR | MDR SECURITIES, INC. | NEW EMPLOYMENT |
| 1-May-24 | COLLADO | JEMMA B. | - | NON-EXECUTIVE INDEPENDENT DIRECTOR | MDR SECURITIES, INC. | NEW EMPLOYMENT |
| 30-Apr-24 | SALTING | JULITA M. | NON-EXECUTIVE INDEPENDENT DIRECTOR AND CHAIRMAN OF AUDIT COMMITTEE | - | MDR SECURITIES, INC. | RESIGNATION |
| 30-Apr-24 | ANTONIO | MARIA ROSARIO B. | NON-EXECUTIVE INDEPENDENT DIRECTOR | - | MDR SECURITIES, INC. | RESIGNATION |
| 15-Mar-24 | SABINO | JOSIE P. | VICE PRESIDENT - ADMINISTRATION | VICE PRESIDENT-ASSOCIATED PERSON & COMPLIANCE OFFICER | PHILSTOCKS FINANCIAL, INC. | RE-DESIGNATION |
| 6-Mar-24 | WEE | PAUL L. | CHAIRMAN/PRESIDENT/DIRECTOR & NOMINEE | CHAIRMAN & CEO/DIRECTOR & NOMINEE | PHILSTOCKS FINANCIAL, INC. | RE-DESIGNATION |
| 6-Mar-24 | TARROBAL | JOSEPH EDWARD W. | DIRECTOR & CHIEF REVENUE OFFICER | DIRECTOR & PRESIDENT | PHILSTOCKS FINANCIAL, INC. | RE-DESIGNATION |